

**IA13 Rec'd PCT/PTO 08 DEC 2006**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: Otterman et al.

Serial No.: 130/565,325

For: LUMINOUS ELEMENT

Filed: January 20, 2006

Examiner: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Confirmation No: 2196

Customer Number: 27623

Attorney Docket No. 2133.122USU

**Mail Stop Missing Parts  
COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450**

Dear Sir:

**TRANSMITTAL OF MISSING PARTS OF APPLICATION AND  
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)**

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney executed by Clemens OTTERMANN, Thomas DÄUBLER, Harry ENGELMANN, Klaus BANGE and Peter NASS;
- ☐ The undersigned attorney has verified that the above-identified applicant is entitled to Small Entity Status.;
- ☐ \_\_\_\_\_ Sheets of Replacement Drawings;
- ☒ An Assignment of the Invention to: **SCHOTT AG**, including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ A Certified Copy of the Priority Application;
- ☐ A Preliminary Amendment;
- ☐ Information Disclosure Statement (Form - 1449); and
- ☒ A copy of the Notice to File Missing Parts of Application Filing Date Granted.

X Petition and Fee for Extension of Time (37 CFR 1.136(A)).

The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	- 20 =	0	x \$50.00	\$
Independent Claims	- 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$		
1/2 FILING FEE FOR SMALL ENTITY		\$		

X A check in the amount of \$ 170.00 (\$130.00 to cover the surcharge and \$40.00 for recordation of assignment fee); is enclosed.

X The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

December 5, 2006  
Date

  
Charles N. J. Ruggiero  
Attorney for Applicant(s)  
Registration No. 28,468  
Ohlandt, Greeley, Ruggiero & Perle, L.L.P.  
One Landmark Square, 10<sup>th</sup> Floor  
Stamford, Connecticut 06901-2682  
Telephone: (203) 327-4500  
Telefax: (203) 327-6401

12/12/2006 GFREY1 00000043 10565325

01 FC:1617 130.00 0P

**CERTIFICATE OF MAILING**

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE U.S. POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO: MAIL STOP MISSING PARTS, COMMISSIONER FOR PATENTS, P.O. BOX 1450, ALEXANDRIA, VA 22313-1450, ON December 5, 2006.

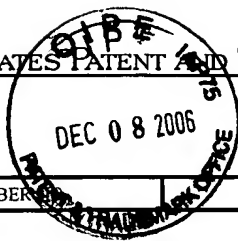
Ruth J. Olivo  
NAME

  
SIGNATURE

December 5, 2006  
DATE



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

U.S. APPLICATION NUMBER

10/565,325

FIRST NAMED APPLICANT

Clemens Ottermann

ATTY. DOCKET NO.

2133.122USU

INTERNATIONAL APPLICATION NO.

PCT/EP04/08047

I.A. FILING DATE

07/19/2003

PRIORITY DATE

27623

OHLANDT, GREELEY, RUGGIERO & PERLE, LLP  
 ONE LANDMARK SQUARE, 10TH FLOOR  
 STAMFORD, CT 06901

CONFIRMATION NO. 2196

371 FORMALITIES LETTER



\*OC000000020710505\*

Date Mailed: 10/05/2006

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/20/2006
- English Translation of the IA filed on 01/20/2006
- Copy of the International Search Report filed on 01/20/2006
- Copy of IPE Report filed on 01/20/2006
- Preliminary Amendments filed on 01/20/2006
- U.S. Basic National Fees filed on 01/20/2006
- Specification filed on 01/20/2006
- Claims filed on 01/20/2006
- Abstracts filed on 01/20/2006
- Drawings filed on 01/20/2006

RECEIVED  
 OCT 11 2006  
 OHLANDT, GREELEY,  
 RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

DARRELL C COTTMAN

Telephone: (703) 308-9140 EXT 203

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/565,325	PCT/EP04/08047	2133.122USU